

# A Minimalist's Implementation of the 3-d Divide-and-Conquer Convex Hull Algorithm

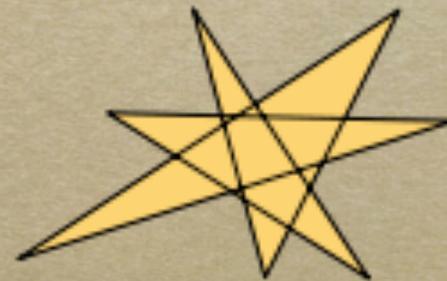
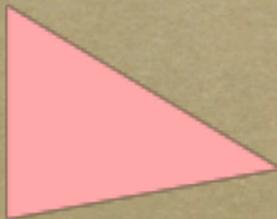
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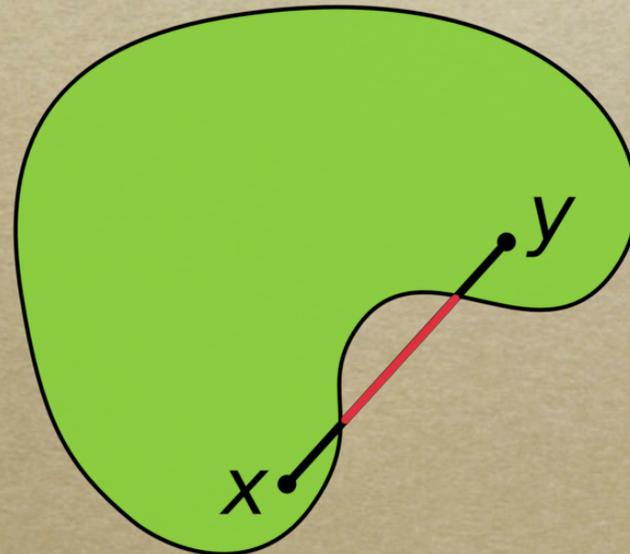
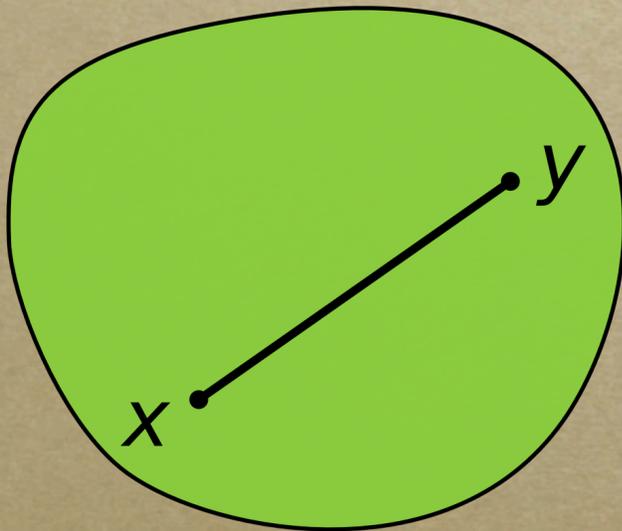
# Simple Polygons

- Polygon = A consecutive set of vertices and edges that form a closed path
- Simple = No edges cross
- Have a *Boundary* and *Interior*



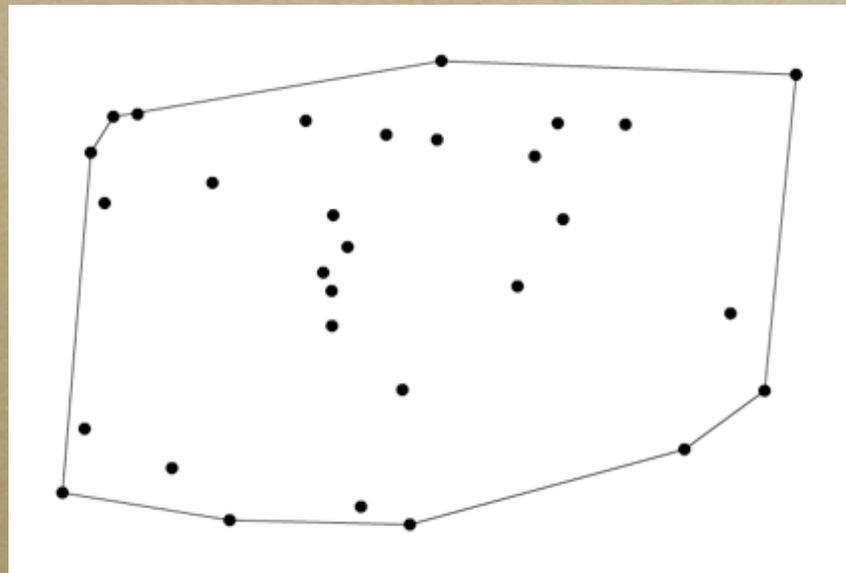
# Convex Polygons

- For all pairs of points  $x$  and  $y$  inside a polygon, the line segment  $(x, y)$  does not leave the polygon



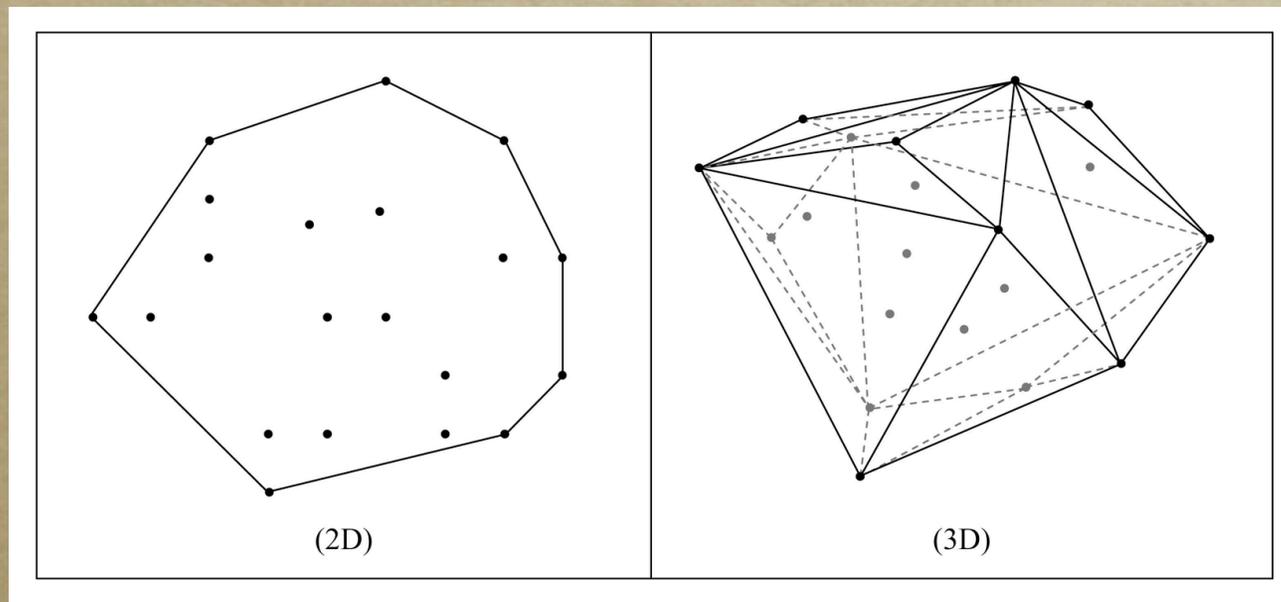
# 2-d Convex Hull

- Given a set  $P$  of points in the plane
- The smallest convex polygon that contains all of  $P$



# 3-d Convex Hull

- Given a set  $P$  of points in  $\mathbb{R}^3$
- The smallest convex **polyhedron** that contains all of  $P$



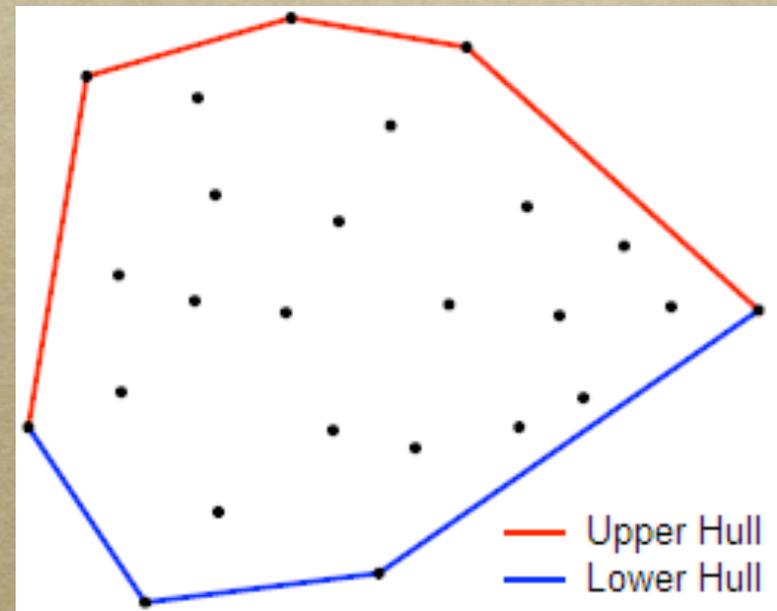
# Lower/Upper Hull

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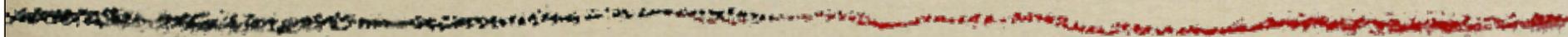
- A convex hull can be decomposed into a *lower* and *upper* hull.
- Edges (2d) or Faces (3d) that can be seen from above or below
- Vertical ray (from  $+\infty$ )
  - enters polygon through *upper* hull
  - leaves through *lower* hull

# Lower/Upper Hull

- An edge will either be in the upper hull *or* in the lower hull
- Construct a CH by finding a lower and upper hull and putting them together



# Demo



# Our Goal

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- Given a set of points in  $\mathbb{R}^3$
- Construct a 3D lower convex hull
- Strategy:

*Divide and Conquer*

# Divide and Conquer

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- Given an input set  $S$ , of size  $n$ , solve some global problem on  $S$ .
- Need two things:
  - Know how to solve the problem for a set of some constant size
  - Know how to merge two solutions of any size together

# Merge Sort

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- Sorting: How to sort  $n$  numbers?
- What do we need to know:
  - How to sort 1 number
  - How to merge two sorted lists of numbers into one

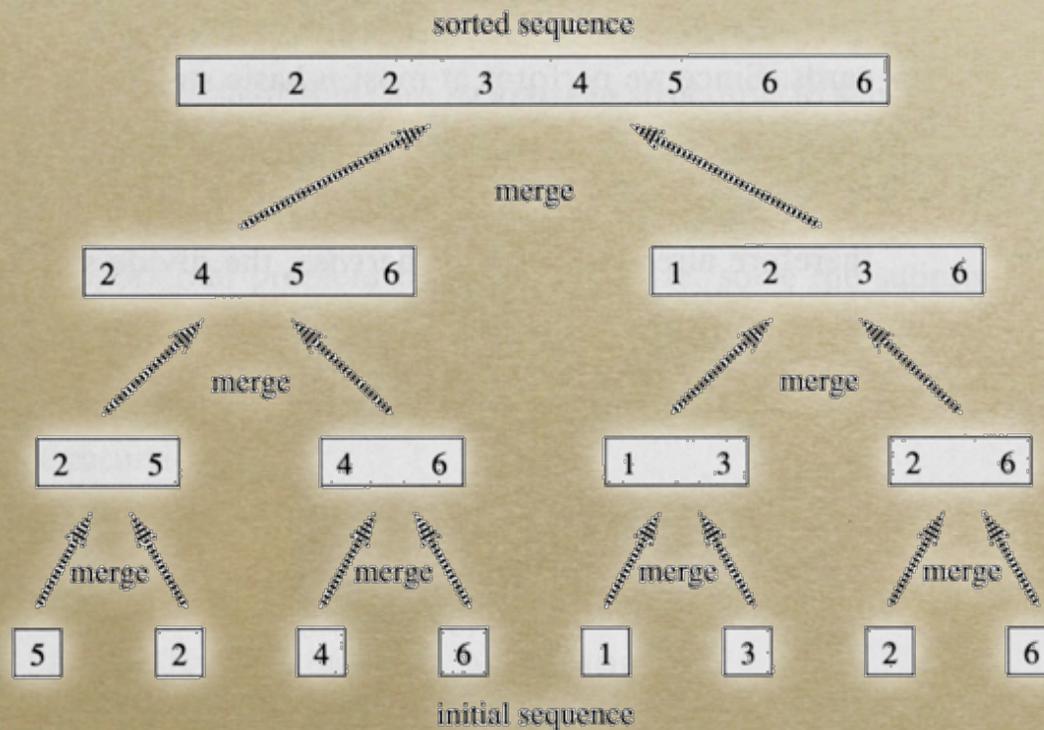
# Merge Sort

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- Given sorted lists  $A$  and  $B$ , output a single sorted list  $O$  containing all elements of  $A$  and  $B$ .
- Read the first element of  $A$  and  $B$
- Take the smaller of the two
- Remove it from the input list
- Add it to the end of  $O$

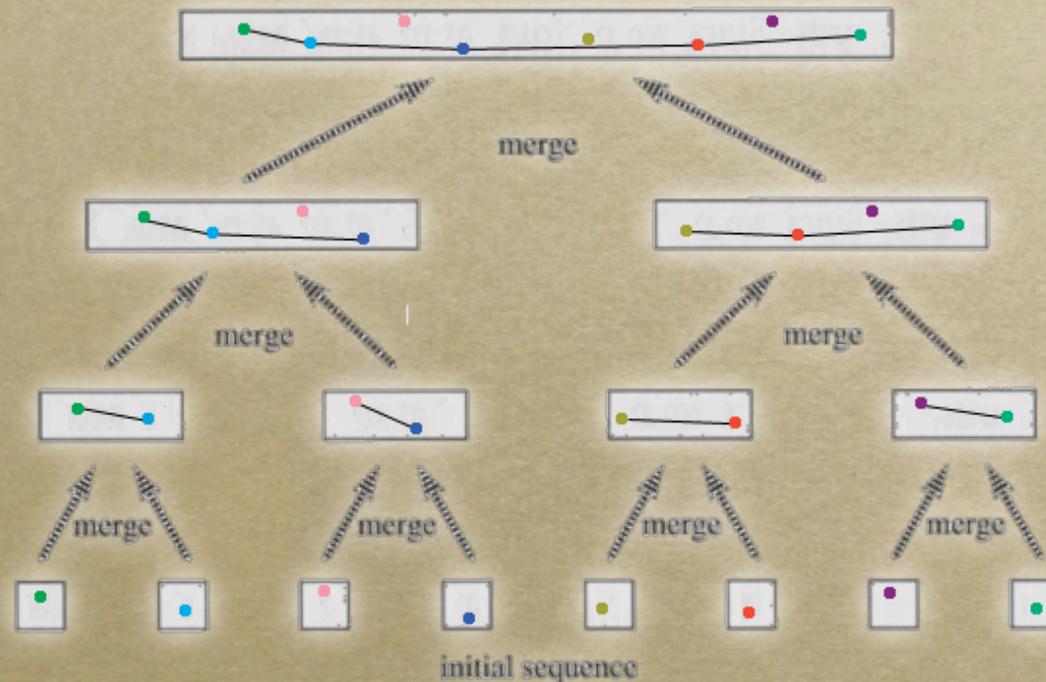
# Merge Sort

- Repeat this process until only one sorted sequence is left



# Merging Convex Hulls

- We perform similar operations on convex hulls



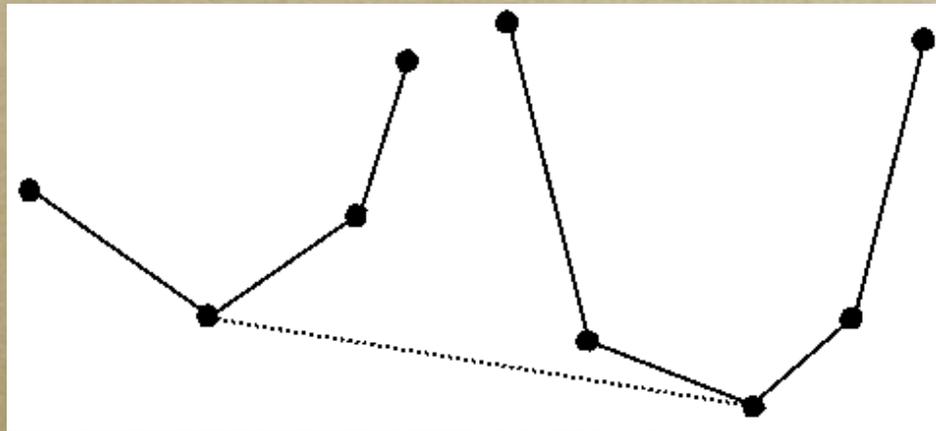
# Divide and Conquer 3-d Hull

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- We need to know two things:
  - How to construct a 3-d lower hull from a constant sized set of points (e.g.  $\leq 5$  points)
  - How to merge two 3-d lower hulls together

# Merging 2-d Convex Hulls

- Given two 2-d lower hulls, separated along the  $x$ -axis
- Find a *bridge* edge between the two hulls



- In 3-d, requires finding many bridge *faces*

# Merging 3-d Convex Hulls

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- Given two 3-d convex hulls, how do we merge them?
- Project them into 2-d and merge them there
- The third dimension becomes “time”
- 2-d projection point set moves through time

# 2-d Projection

- For each point  $p_i$ , define:
  - $p_i'(t) = (x_i, z_i - ty_i)$
- $x$ -coord stays the same
- $y$ -coord is the 3-d  $z$ -coord
- $y$ -coord changes through time, with a constant velocity related to its 3-d  $y$ -coord

# 2-d Projection

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- As time  $t$  moves from  $-\infty$  to  $\infty$ , the points will move vertically with constant velocity
- The points start at one extreme and move through to the other
- Over time, the lower hull of the 2-d point set will change

# 2-d Projection

- By the projection, where  $y' = z - ty$ 
  - A point is a vertex of 3-d lower hull *iff*
  - The 2-d projection of the point:
    - lies on a line  $y' = sx + b$  with all other points above it
    - is a vertex of the 2-d lower hull for some time  $t$

# Projection Movie

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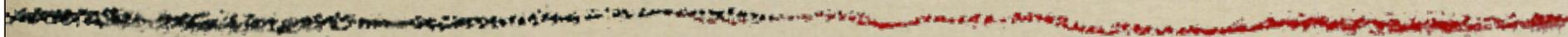
- Think of the 2-d projection as a movie
- By playing the movie of 2-d projection through time, and watching which vertices join the lower hull
- We can construct the 3-d lower hull

# Merging

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- We want to be able to merge two 3-d hulls
- By merging, we create a 2-d movie
- So, we are given the 3-d hulls, but also their 2-d movies
- Play their movies back together, and merge them together at each time  $t$

# Demo



# Quick Analysis

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- Each point's 2-d projection moves with constant velocity, and does not change direction
- Thus during one merge step, it will be added to/removed from the 2-d hull at most once each
- Therefore there are  $O(n)$  "events"

# Quick Analysis

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- The next “event” time for the projection is computed in  $O(1)$  time, using the two previous movies
- This gives  $O(n)$  time to perform one merge operation
- Thus total run time:  $T(n) = 2T(n/2) + O(n) = O(n \log n)$

# Remarks

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- While we describe the algorithm in terms of time..
- The time is precisely a third coordinate, making the algorithm a space sweep.

# Remarks

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- We can use this algorithm to answer 3-d extreme point queries
- Given  $s$  and  $t$ , find a point  $(x_i, y_i, z_i)$  minimizing  $z_i - sx_i - ty_i$
- Remember the 2-d hull at time  $t$
- Search the lower hull for the point:  
 $O(\log n)$  time

# Remarks

- Gives a  $O(\log n)$  time query time for 2-d nearest neighbours problem
- Given a point  $(a, b)$  in  $\mathbb{R}^2$ , find  $(x_i, y_i)$ :
  - Minimize  $\text{sqrt}((x_i - a)^2 + (y_i - b)^2)$
  - Minimize  $z_i - 2ax_i - 2by_i$  with  $z_i = x_i^2 + y_i^2$
- The data structure uses  $O(n \log n)$  space